UNIVERSITY OF BIRMINGHAM

CODE OF PRACTICE ON ASSESSMENT AND AWARD OF PHD BY PUBLICATION

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1. Introduction

1.1 This Code of Practice sets out the requirements for the assessment and award of the degree of PhD by publication.

2. Definition

2.1 The work submitted should be broadly comparable to that submitted for other doctoral degrees in this University, based upon research with a common theme in the form of a series of publications.

3. Eligibility

3.1 Candidates shall be members of staff in this University. They shall have held an appointment in this University for a continuous period of at least three years on a full-time basis (or the part-time equivalent) immediately prior to the submission of the published work. Candidates shall be members of staff at the time of the examination of the published work

3.2 Postgraduate students of this University registered under Regulation 5.1.1 shall not be eligible to register for the degree of PhD under this Code of Practice.

3.3 Postgraduate students of this University registered under Regulation 5.1.1 and who are members of staff of this University may transfer to this mode of registration, providing that they fulfil all of the required criteria.

4. Application

4.1 Candidates shall submit an indication of the subject of study and research and details concerning their appointment in this University to their Head of School, who shall approve or reject the candidature. The decision shall be ratified by the relevant Head of College and reported to Academic Services.

4.2 The candidate should not infer from any approval that the proposed submission will ultimately merit the award of the degree of PhD.

5. Assessment

5.1 A chairperson for the oral examination shall be appointed. The adviser shall not be appointed as the chairperson

5.2 The candidate, internal examiner, external examiners and the chairperson shall be present at the oral examination. The adviser shall not be present. No other person may attend except with the unanimous approval of the chairperson, examiners and candidate.

5.3 The examiners shall prepare independent reports on the submitted work before the oral examination takes place.

5.4 The examiners shall prepare separate or joint reports, as they prefer, taking into account the original independent reports and the candidate's performance in the oral examination and, including, where possible, an agreed recommendation.